

17W 2162

TDANSMITTAL CODM			Application No.	09/69	05,499
TRANSMITTAL FORM			Filing Date	Dece	mber 8, 2000
(to be used for all correspondence after initial filing)		First Named Inventor	Joshu	a Coates	
		Art Unit	2162		
			Examiner Name	Joon	H. Hwang
Total Number of F	Pages in This Submiss	ion	Attorney Docket Number	4239	0P19172
	ENCLO	SURES (chec	k all that apply)		
Fee Transmittal	Form	Drawing(s)			After Allowance Communication to TC
Fee Attac	hed	Licensing-r	elated Papers		Appeal Communication to Board of Appeals and Interferences
Amendment / Re	esponse	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Fina Affidavits/	l declaration(s)	Petition to Convert a Provisional Application			Proprietary Information
Extension of Tim	ne Request	Power of A Change of	ttorney, Revocation Correspondence Address		Status Letter
Express Abandonment Request		Terminal D	isclaimer	Other Enclosure(s) (please identify below)	
Information Disclosure Statement		Request for Refund -Check for \$180.00		Check for \$180.00 Return postcard	
TO/SB/08		CD, Numbe	er of CD(s)		recturn postcuru
Certified Copy of Priority Document(s)		Lands	cape Table on CD		
Response to Missing Parts/ Incomplete Application		Domorko	<u> </u>		
Basic	Filing Fee	Remarks			
Declaration/POA					
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm Paul A. Mendonsa, Reg. No.		nsa, Reg. No.	42,879		
or Individual name BLAKELY, SOK		OKOLOFF,	TAYLOR & ZAFN	AN I	LLP
Signature full Max		Man	lan		
Date June 7, 2006					
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Signature	(Mhon h	O (A) le moneron		Date	June 7, 2006

RANSMITTAL Complete if Known Application Number 09/695,499 for FY 2005 Filing Date December 8, 2000 Patent fees are subject to annual revision. First Named Inventor Joshua Coates Examiner Name Joon H. Hwang Applicant claims small entity status. See 37 CFR 1.27. 2162 42390P19172 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 180.00 Attorney Docket No.

METHOD OF	PAY	MENT (c	heck all	that apply)	
☑ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify):					
Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP					
☐ Charge i Charge a	fee(s) any ao	indicated dditional	l below fee(s) or	ount, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the sunderpayment of fee(s) Credit any overpayments 18 and 1.20.	filing fee
FEE CALCULAT	ION				
Large Entity Small Entity					
Fee Fe	e	Fee	Fee	Foo Deparintion	
Code (\$))	Code	(\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052		Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253 1,0	020	2253	510	Extension for reply within third month	
1254 1,5	590	2254	795	Extension for reply within fourth month	
1255 2,	160	2255		Extension for reply within fifth month	
1401 5	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403 1,0	000	2403	500	Request for oral hearing	
1451 1,	510	2451		Petition to institute a public use proceeding	
1460	130	2460		Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	- ' ' '	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify)					
				SUBTOTAL (2) (\$)	180.00

SUBMITTED BY Complete (if applicable)					olete (if applicable)
Name (Print/Type)	Paul A. Mendonsa	Registration No. (Attorney/Agent)	42,879	Telephone	(503) 439-8778
Signature	Taul A Whydown			Date	06/07/06

Based on PTO/SB/17 (12-04) 5 modified by Blakely, Solokoff, Taylor & Zafman (wlr) 12/15/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Docket No.: 42390P19172



the Application of:

JOSHUA COATES

Application No.: 09/695,499

Filed: December 8, 2000

For: A NETWORK STORAGE SYSTEM

Art Group: 2162

Examiner: Joon H. Hwang

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

06/12/2006 CCHAU1 00000018 09695499

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

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Date: June 07, 2006

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

Complete if Known				
Application Number	09/695,499			
Filing Date	December 8, 2000			
First Named Inventor	Joshua Coates			
Art Unit	2162			
Examiner Name	Joon H. Hwang			
Attorney Docket Number	42390P19172			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	1	Apache-SSL: Certificates, Configuration and More Information Systems and Technology; University of Waterloo; 9-15-1998; 16 pages	
	2	SCHROEDER: Implementing Multi-Protocol Authentication/Security Systems; Grid (GSI) Secure Socket Layer (X.509 Certificates), Kerberos, DCE, and Custom Systems for	
		Secure Distributed Data Access; 7-19-1999; 4 pages	
	3	BEA WebLogic SSL; Server 4.5; 20 pages	
	4	KLINE: Distributed File Systems for Storage Area Networks; Advanced Digital Info Corp; 11 pages	
	5	Certificate to LDAP Mapping in Netscape Servers, Rev 1.2; 8-28-1997; Structured Arts Technical Notes	
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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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